

Delegations of Signature Authority for Contracts

The officers of the University, as set out below, have authority to sign the types of contracts specified below on behalf of the University, as follows:

- I. Contracts reviewed, approved or drafted by the Office of the General Counsel for a specific transaction; and
 - II. Standard Template Agreements prepared by or approved by the Office of the General Counsel provided there are no changes to the template (An * asterisk below indicates the availability of a Standard Template Agreement); and
 - III. Contracts reviewed and approved by the Strategic Purchasing, Contracts and Insurance; and
 - IV. Contracts reviewed and approved by the Office of Vice President for Research (except for Data Use Agreements for Centers for Medicaid and Medicare Services that are for the School of Public Health, which shall be reviewed and approved by the School of Public Health);
 - V. Contracts reviewed and approved by Computing and Information Services; And subject to the following dollar limitations (except as otherwise exempted below):
 - A. Senior Officers have signatory authority to \$1,000,000 subject to the requirement agreements in excess of \$500,000 must be countersigned by either the Provost or the Executive Vice President for Finance & Administration. Senior Officers are defined as those officers reporting to the President and those deans and vice presidents who report to the Provost and the Executive Vice President for Finance & Administration. The Assistant Vice President, Chief Procurement Officer, Office of Strategic Procurement & Contracts is considered a Senior Officer only for the purpose of signature authority and this document.
 - B. Divisional Unit Officers-defined as heads of those units which report directly to a Senior Officer and have signatory authority up to and including \$100,000. Heads of divisional units may delegate to their manager-level subordinates authority to execute agreements at amounts up to and including \$50,000.
 - C. Operational Unit Officers-defined as heads of academic and administrative departments, centers, institutes and similar units who report to one-level below the senior officer and have signatory authority to \$50,000; may sub-delegate signatory authority up to \$10,000 to their manager-level subordinates for Professional Service Agreements only.
- If a position falls into more than one category listed above, the category that provides the higher level of signature authority will apply

| President | | | | | | |
|---------------|----------------------|---------------------------------|--------------------------------|---|----------------------------|--------------------|
| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
| All | All contracts | President | Senior Officer | | | \$1,000,000 |
| | | | | Executive Vice President for Finance and Administration | Senior Officer | \$1,000,000 |
| | | | | Provost | Senior Officer | \$1,000,000 |

| Academic | | | | | | |
|---------------|--|---------------------------------|---|--|----------------------------|--------------------|
| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
| Academic | Academic contracts <u>not</u> involving the purchases of goods/services (Examples: Academic exchanges, internships, academic cooperation agreements, academic placements, academic matriculation) | Provost | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Provost(s) | Senior Officer | \$1,000,000 |
| | | | | Dean(s) | Senior Officer | \$1,000,000 |
| | | | | Associate Dean(s) reporting to Dean(s) reporting to the Provost | Divisional Unit Officer | \$100,000 |
| | Collaborative research, academic, and other education activities with foreign institution/agency or for study abroad programs | Provost | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Provost for Strategic Initiatives | Senior Officer | \$1,000,000 |
| | | | | Assistant Provost for Global Engagement | Senior Officer | \$1,000,000 |
| | Consortium, publications, copyright permissions | Provost | Senior Officer | | | \$1,000,000 |
| | | | | University Librarian | Senior Officer | \$1,000,000 |
| | Immigration documents | Provost | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Provost for Strategic Initiatives | Senior Officer | \$1,000,000 |
| | | | | Assistant Provost for Global Engagement | Senior Officer | \$1,000,000 |
| | | | | Director, the Office of International Student and Scholar Services | Divisional Unit Officer | \$100,000 |
| | Contracts with respect to all matters falling within the administrative academic responsibilities, additionally contracts including those for the College, Graduate School, School of Engineering, Warren Alpert School of Medicine, School of Professional Studies, School of Public Health | Provost | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Provost(s) | Senior Officer | \$1,000,000 |
| | | | Dean(s) | Senior Officer | \$1,000,000 | |
| | | | Associate Dean(s) reporting to Dean(s) reporting to the Provost | Divisional Unit Officer | \$100,000 | |

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| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
|---------------|---|---|--------------------------------|--|----------------------------|--------------------|
| | Contracts for Summer Programs and Camps | Dean, Pre-College and Summer Undergraduate Programs | Senior Officer | | | \$1,000,000 |
| | | | | Associate Dean(s) reporting to Dean, Pre-College and Summer Undergraduate Programs | Divisional Unit Officer | \$100,000 |

Advancement

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
|---------------|--|---|--------------------------------|--|----------------------------|--------------------|
| Advancement | Acceptance of Gifts – authorized to accept any gift under the terms imposed by the donor or testator provided that the gift is found to contribute to the approved purposes of the University. | Senior Vice President, Advancement | Senior Officer | | | \$1,000,000 |
| | | | | Vice President of Advancement Services and Development Support | Divisional Unit Officer | \$100,000 |
| | Releases, receipts and other documents required to be signed on behalf of the University in order to settle an estate or terminate a trust. | Senior Vice President, Advancement | Senior Officer | | | \$1,000,000 |
| | | | | Vice President of Advancement Services and Development Support | Divisional Unit Officer | \$100,000 |
| | Acceptance of Gifts – unusual/non-monetary gifts | Senior Vice President, Advancement (with vote of approval by the Corporation) | Senior Officer | | | \$1,000,000 |
| | | | | Vice President of Advancement Services and Development Support | Divisional Unit Officer | \$100,000 |
| | Gift Agreements* up to \$10 Million | Provost (with vote of approval by the Corporation) | Senior Officer | | | \$1,000,000 |
| | | | | Senior Vice President, Advancement | Senior Officer | \$1,000,000 |
| | Gift Agreements* \$10 Million or greater | President (with vote of approval by the Corporation) | Senior Officer | | | \$1,000,000 |

Athletics

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
|---------------|---|---|--------------------------------|---|----------------------------|--------------------|
| Athletics | Contracts regarding athletic contests*, including agreements for hotel rooms and transportation services used by Brown's varsity sports teams, and agreements regarding media, ticket sales, and concessions* | Vice President for Athletics and Recreation | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Athletics Director for Financial & External Relations | Divisional Unit Officer | \$50,000 |
| | Non-conference game contracts | Vice President for Athletics and Recreation | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Athletics Director for Intercollegiate Programs | Divisional Unit Officer | \$50,000 |
| | Head Coaches agreements* | Vice President for Athletics and Recreation | Senior Officer | | | \$1,000,000 |
| | Assistant Coaches agreements* | Vice President for Athletics and Recreation | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Athletics Director for Financial & External Relations | Divisional Unit Officer | \$50,000 |
| | Recreation program-agreements, including agreements for club and intramural sports and the Nelson Fitness Center | Vice President for Athletics and Recreation | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Athletics Director for Financial & External Relations | Divisional Unit Officer | \$50,000 |
| | Athletic Facility Use Agreements* | Vice President for Athletics and Recreation | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Athletics Director for Intercollegiate Programs | Divisional Unit Officer | \$50,000 |
| | | | | Deputy Athletics Director for Financial & External Relations | Divisional Unit Officer | \$50,000 |
| | | | | Senior Associate Athletics Director for Facilities/Operations | Operational Unit Officer | \$25,000 |

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|---------------|-----------------------------------|---|--------------------------------|--|----------------------------|--------------------|
| | Other Athletics Vendor Agreements | Vice President for Athletics and Recreation | Senior Officer | | | \$1,000,000 |
| | | | | Deputy Athletics Director for Financial & External Relations | Divisional Unit Officer | \$50,000 |
| | | | | Associate Athletics Director for Business Operations | Operational Unit Officer | \$25,000 |

General

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap | |
|---------------|--|---|--------------------------------|-------------------------------|---|--------------------------|-------------|
| General | University Contracts* for the purchase of goods/services | Any Senior Officer | Senior Officer | | | \$1,000,000 | |
| | | | | Director, Strategic Sourcing | Divisional Unit Officer | \$100,000 | |
| | | | | Associate Director, Insurance | Divisional Unit Officer | \$100,000 | |
| | | | | Divisional Unit Officers | Divisional Unit Officer | \$100,000 | |
| | | | | | Operational Unit Officers | Operational Unit Officer | \$50,000 |
| | Sponsorship Agreements | Executive Vice President for Finance and Administration | Senior Officer | | | | \$1,000,000 |
| | | Provost | Senior Officer | | | | |
| | Contracts for the construction, renovation and repair of buildings and equipment, Design Service, construction management, and construction contracts addendum | Executive Vice President for Finance and Administration | Senior Officer | | | | \$1,000,000 |
| | | | | | Vice President for Facilities | Senior Officer | \$1,000,000 |
| | | | | | Assistant Vice President for Facilities | Divisional Unit Officer | \$100,000 |
| | | | | | Vice President for Finance, Chief Financial Officer | Senior Officer | \$1,000,000 |
| | Benefit vendor contracts for voluntary insurance benefit plans, including administrative services agreements and business associate agreements | Executive Vice President for Finance and Administration | Senior Officer | | | | \$1,000,000 |
| | | | | | Vice President for Human Resources | Senior Officer | \$1,000,000 |
| | | | | | Sr. Manager, Finance & Work/Life Programs | Operational Unit Officer | \$50,000 |
| | | | | | Director of Benefits | Operational Unit Officer | \$50,000 |
| | Insurance agreements, applications and proof of loss forms | Executive Vice President for Finance and Administration | Senior Officer | | | | \$1,000,000 |
| | | | | | Senior Director, Insurance | Senior Officer | \$1,000,000 |
| | Facility Use Agreements* includes hotel agreements managed by Brown's Event and Conference Service | Vice President for Facilities Management | Senior Officer | | | | \$1,000,000 |
| | | Director, University Events and Conference Services | Divisional Unit Officer | | | | \$100,000 |
| | | | | | Associate Director, University Events and Conference Services | Operational Unit Officer | \$50,000 |
| | Summer Conference Housing Contracts* | Director, University Events and Conference Services | Divisional Unit Officer | | | | \$100,000 |
| | | | | | Associate Dean | Divisional Unit Officer | \$100,000 |
| | | | | | Associate Director | Operational Unit Officer | \$50,000 |

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|---|---|---|--------------------------------|--|----------------------------|--------------------|
| | Outdoor Education Classes Agreements for BOLT* | Vice President for Campus Life and Student Services | Divisional Unit Officer | | | \$100,000 |
| | | | | Director, Student Activities | Operational Unit Officer | \$50,000 |
| | | | | Associate Director for Leadership Development, Student Activities Office | Operational Unit Officer | \$50,000 |
| | Performing Artists, Artists, and Guest Speaker Agreements* | Senior Officers | Senior Officer | | | \$1,000,000 |
| | | | | Divisional Unit Officers | Divisional Unit Officer | \$100,000 |
| | | | | Director, Student Activities Operational Unit Officers | Operational Unit Officer | \$50,000 |
| | Energy vendors for wholesale and retail energy commodities transactions to get competitive pricing | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | | Vice President, Facilities Management | Senior Officer | \$1,000,000 |
| | Contracts for Professional Services Agreements (PSAs) (consultants, guest speakers, independent contractors) within their areas of responsibilities with individuals, institutions and other organizations, except as otherwise specifically mentioned in other areas * | Senior Officers | Senior Officer | | | \$1,000,000 |
| | | | | Divisional Unit Officers | Divisional Unit Officer | \$100,000 |
| | | | | Operational Unit Officers | Operational Unit Officer | \$50,000 |
| | Content Development Agreements * including writers, designers and video producers | Divisional Unit Officers | Divisional Unit Officer | | | \$100,000 |
| | | | | Operational Unit Officers | Operational Unit Officer | \$50,000 |
| | Contracts for web content, technology development, web hosting, and software licensing | Vice President for Communications | Senior Officer | | | \$1,000,000 |
| | | | | Vice President for Computing and Information Services | Senior Officer | \$1,000,000 |
| | | | | Associate Vice President for Marketing Communications | Divisional Unit Officer | \$100,000 |
| | | | | Deputy Chief Information Officer | Divisional Unit Officer | \$100,000 |
| | Educational Collaboration/Service Agreements | Divisional Unit Officers | Divisional Unit Officer | | | \$50,000 |
| General Contracts not otherwise described in this section | Senior Officers | Senior Officer | | | \$1,000,000 | |
| | | | Divisional Unit Officers | Divisional Unit Officer | \$100,000 | |
| | | | Operational Unit Officers | Operational Unit Officer | \$50,000 | |

Employment

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
|---------------|---|--|--------------------------------|-----------------------------|----------------------------|--------------------|
| Employment | Faculty Members: Appointment letters,* promotion letters* | Provost | Senior Officer | | | - |
| | | Dean of Faculty | Senior Officer | | | - |
| | | Dean of Medicine and Biological Sciences | Senior Officer | | | - |
| | | Dean of Public Health | Senior Officer | | | - |
| | | Dean of School of Professional Studies | Senior Officer | | | - |
| | | Dean of Engineering | Senior Officer | | | - |
| | Direct Reports to the President: Appointment letters* | President | Senior Officer | | | - |
| | Non-Faculty Employees: Appointment letters*, other than direct reports to the President | Hiring Official | | | | - |
| | Settlement agreements involving non-faculty Employees where no money and no | Vice President for Human Resources | Senior Officer | | | - |

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|---|--|---------------------------------|--|--|----------------------------|--------------------|---|
| | Litigation is involved | | | Assistant Vice President for HR Operations | Divisional Unit Officer | - | |
| | | | | Director of Benefits | Operational Unit Officer | - | |
| | Settlement agreements involving Faculty where no money and no litigation is involved | | Provost | Senior Officer | | | - |
| | | | Dean of Faculty | Senior Officer | | | - |
| | | | Dean of Medicine and Biological Sciences | Senior Officer | | | - |
| | | | Dean of Public Health | Senior Officer | | | - |
| | | | Dean of School of Professional Studies | Senior Officer | | | - |
| | Dean of Engineering | Senior Officer | | | - | | |
| Settlement agreements not covered (see legal section) | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 | | |

Finance, Banking, Audit and Investment Matters

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap | |
|---------------|--|---------------------------------|---|------------------------------------|----------------------------|--------------------|-------------|
| | Mergers, acquisitions, sale and encumbrance of interests in businesses, companies (holding or operative), subsidiaries, partnerships or brands. ; Strategic Business agreements such as co-operation, syndicate, joint venture or similar transactions (including binding Letters of Intent or Memoranda of Understanding). Agreements involving the payment or receipt of new or additional capital investment. | President | Senior Officer | | | \$1,000,000 | |
| | | | | | | | |
| | Confidentiality agreements and non-disclosure agreements (relating to transactions described above) | | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | Chief Executive Officer of the Investment Office | Senior Officer | | | \$1,000,000 |
| | | | Vice President and Chief Investment Officer | Senior Officer | | | \$1,000,000 |
| | | | | Head of Operations for Investments | Divisional Unit Officer | \$100,000 | |
| | Various Instruments – Guarantees, promissory notes and acceptances, proxies and powers of attorney to vote upon shares of stock, bonds, and other papers required in legal proceedings, whether the property to which such instruments relate is the property of the University or is held by the University in a fiduciary capacity, shall be executed by the President, the Treasurer, or the Executive Vice President for Finance and Administration. Any of the above-mentioned instruments executed as herein required, may be delivered by any of the above named officers, whether or not he be the one executing the same. | | President | Senior Officer | | | \$1,000,000 |
| | | | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | Chief Executive Officer of the Investment Office | Senior Officer | | | \$1,000,000 |
| | | | Vice President for Finance, Chief Financial Officer | Senior Officer | | | \$1,000,000 |
| | | | Vice President and Chief Investment Officer | Senior Officer | | | \$1,000,000 |
| | | | | Head of Operations for Investments | Divisional Unit Officer | \$100,000 | |
| | Agreements related to the investment, sale, exchange or reinvestment of the funds and securities of the University and the opening of financial accounts required for such activities. | | Chief Executive Officer of the Investment Office | Senior Officer | | | \$1,000,000 |
| | | | Vice President and Chief Investment Officer | Senior Officer | | | \$1,000,000 |
| | | | | Head of Operations for Investments | Divisional Unit Officer | \$100,000 | |
| | Execute contracts with respect to all matters falling within the administrative responsibilities of his/her office | | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | Chief Executive Officer of the Investment Office | Senior Officer | | | \$1,000,000 |
| | | | Vice President and Chief Investment Officer | Senior Officer | | | \$1,000,000 |
| | | | | Head of Operations for Investments | Divisional Unit Officer | \$100,000 | |

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|--|--|--|--|--|--------------------------------------|--------------------------|
| Finance, Banking, Audit and Investment Matters | Employee Loan Program | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | | Assistant Vice President Business & Financial Services | Senior Officer | \$1,000,000 |
| | | | | Director of University Receivables & Commerce | Divisional Unit Officer | \$100,000 |
| | | | | Director of Student Financial Services & University Bursar | Operational Unit Officer | \$50,000 |
| | Ad-Hoc Loans | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | Consulting or professional services, specifically for finance, audit and investment matters. * | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | | Chief University Auditor | Divisional Unit Officer | \$100,000 |
| | | | | Chief Executive Officer of the Investment Office | Senior Officer | \$1,000,000 |
| | | | | Vice President and Chief Investment Officer | Senior Officer | \$1,000,000 |
| | Independent Public Accountant to audit and certify financial statements, including directly related consulting and professional services agreements | Chief University Auditor | Divisional Unit Officer | | | \$100,000 |
| | Financing agreements with unaffiliated third parties (includes all financing agreements such as, but not limited to, Bonds, Credit Agreements, ISDA Agreements, Master Lease, etc.). Includes extension of existing financing agreement with changes to terms and conditions | President (countersigned by the Executive Vice President of Finance & Administration) | Senior Officer | | | > \$100M |
| | | President (countersigned by the Executive Vice President of Finance & Administration or the Vice President for Finance, Chief Financial Officer) | Senior Officer | | | \$5M - \$100M |
| | | Executive Vice President of Finance & Administration or the Vice President for Finance, Chief Financial Officer | Senior Officer | | | < \$5M |
| | | Chief Executive Officer of the Investment Office (with respect to ISDA agreements only) | Senior Officer | | | < \$5M |
| | | Vice President and Chief Investment Officer (with respect to ISDA agreements only) | Senior Officer | | | < \$5M |
| | Extension of existing financing agreement with no changes to terms and conditions | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | Chief Executive Officer of the Investment Office | Senior Officer | | | \$1,000,000 |
| | | Vice President for Finance, Chief Financial Officer | Senior Officer | | | \$1,000,000 |
| | | Vice President and Chief Investment Officer | Senior Officer | | | \$1,000,000 |
| | | | | Head of Operations for Investments | Divisional Unit Officer | \$100,000 |
| Issuance or Repurchase of Securities of Brown or its Subsidiaries to/from a third party other than in accordance with any joint venture agreements, shareholder agreements or similar Agreements | President | Senior Officer | | | \$1,000,000 | |
| | | | As approved by the Board (of issuer) or as allowed by law in applicable jurisdiction | | | |
| Cross-jurisdictional Inter-Company Service and Transfer Pricing Agreements | Vice President for Finance, Chief Financial Officer | Senior Officer | | | \$1,000,000 | |
| | | | | | Director of Tax and Payroll Services | Operational Unit Officer |
| Legal and Corporate Compliance | | | | | | |

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap | |
|---|---|--|--------------------------------|-----------------------------|----------------------------|-------------------------|------------------|
| Contract Type | | | | | | | |
| Legal and Corporate Compliance | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap | |
| | Consulting Agreements and Engagement Letters for outside legal counsel, investigators and other services in respect to a specific matter, claim, administrative complaint or litigation | Vice President and General Counsel | Senior Officer | | | | \$1,000,000 |
| | | | | Deputy General Counsel | | Divisional Unit Officer | \$100,000 |
| | Settlement agreements where money is involved | Brown University Corporation | | | | | >\$50M |
| | | President | | Senior Officer | | | \$2M-\$50M |
| | | Executive Vice President for Finance and Administration involving non- faculty and in the case of faculty both the Executive Vice President for Finance and Administration and the Provost | | Senior Officer | | | \$750,000 - \$2M |
| Senior Officers with the concurrence of the Vice President for Finance, Chief Financial Officer | | | Senior Officer | | | <\$750,000 | |
| Worker's compensation settlements | Senior Director, Insurance | | Senior Officer | | | \$1,000,000 | |

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|---|--|---|-----------------------------------|--|----------------------------|--------------------|
| Medicine and Biological Sciences | | | | | | |
| Contract Type | | | | | | |
| Medicine and Biological Sciences | | | | Associate Director, Insurance | Divisional Unit Officer | \$100,000 |
| | Association agreements for the provision of clinical elective and other undergraduate, medical and graduate education programs | Dean of Medicine and Biological Sciences | Senior Officer | | | \$1,000,000 |
| | | | | Associate Dean for Academic Affairs and Associate Dean for Medical Education | Divisional Unit Officer | \$100,000 |
| | Agreements with affiliated hospitals pertaining to undergraduate medical education, clinical research, and residency and fellowship training | Dean of Medicine and Biological Sciences | Senior Officer | | | \$1,000,000 |
| | | | | Associate Dean for Academic Affairs and Associate Dean for Medical Education | Divisional Unit Officer | \$100,000 |
| | Affiliation agreements with hospitals and other health care, education and research facilities, with the approval of the President, and subject to the approval of the Corporation | Dean of Medicine and Biological Sciences (President may cosign affiliation agreements) | Senior Officer | | | \$1,000,000 |
| | Affiliation agreements with state and local governments relative to receipt of funds for education, and other research programs with the approval of the President | Dean of Medicine and Biological Sciences | Senior Officer | | | \$1,000,000 |
| | | | Executive Dean for Administration | Divisional Unit Officer | \$100,000 | |
| Facilities Use Agreements for laboratories and other research spaces under the control of the Division of Biology and Medicine* | Executive Dean for Administration | Divisional Unit Officer | | | \$100,000 | |
| Public Safety | | | | | | |
| Contract Type | | | | | | |
| Public Safety | | | | | | |
| | Mutual aid agreements with other law enforcement agencies * | Executive Vice President for Planning and Policy | Senior Officer | | | \$1,000,000 |
| | | | | Executive Director and Chief of Public Safety | Senior Officer | \$1,000,000 |
| Contracts for off-duty officers, security company * | Executive Vice President for Planning and Policy | Senior Officer | | | \$1,000,000 | |

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| Brown's Trademarks | | | | | | |
| Contract Type | | | | | | |
| Brown's Trademarks | Operation of the University's Trademark Licensing Program (Registering with the U.S. Patent and Trademark Office, entering into a contract with an agent for the licensing of Brown University marks, symbols, and agreements granting permission to use the University's names, logos, and other trademarks, etc.) | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | Vice President for Communications | Senior Officer | | | \$1,000,000 |
| | | | | Assistant Vice President of Business and Financial Services | Senior Officer | \$1,000,000 |
| | | | | Director of Athletics and Recreation | Divisional Unit Officer | \$100,000 |
| Real Estate | | | | | | |
| Contract Type | | | | | | |
| Real Estate | Authorized to approve purchases and sales of real property in amounts up to \$1,000,000, after advice and consultation with the Real Estate and Development Subcommittee of the Committee on Facilities and Campus Planning. Any purchase or sale in excess of \$1,000,000 must be approved by the Corporation. | Brown University Corporation | | | | > \$1,000,000 |
| | | President | Senior Officer | | | < \$1,000,000 |
| | | Executive Vice President for Finance and Administration | Senior Officer | | | < \$1,000,000 |
| | Execute Various Instruments – authorized to execute deeds, mortgages, bills of sale, purchase and sale agreements, leases and assignments of leases of real or personal estate, releases and discharges of mortgages, guarantees, promissory notes and acceptances, proxies and power of attorney. | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | | Vice President for Real Estate and Strategic Initiatives | Senior Officer | \$1,000,000 |
| | Lease Agreement – Annual Rent (< \$350,000 and < 10 years) | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | | Vice President for Real Estate and Strategic Initiatives | Senior Officer | \$1,000,000 |
| | Lease Agreement – Annual Rent (any amount) | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | Lease Agreement – Annual Rent (for all University commercial rental properties) | Executive Vice President for Finance and Administration | Senior Officer | | | \$1,000,000 |
| | | | | Vice President for Real Estate and Strategic Initiatives | Senior Officer | \$1,000,000 |
| | Lease Agreement – Annual Rent (for all University student rental properties) | Vice President for Real Estate and Strategic Initiatives | Senior Officer | | | \$1,000,000 |
| | | | | Director, Auxiliary Housing | Divisional Unit Officer | \$100,000 |
| | Lease Agreement (Authorized to execute lease agreements outside the U.S.) | Director, International Programs (with the concurrence of the Vice President for Real Estate and Strategic Initiatives) | Divisional Unit Officer / concurrence of Senior Officer | | | \$100,000 |
| Sponsored Projects | | | | | | |
| Contract Type | Proposals and applications to the United States Government, non-U.S. governments, U.S. state/local governments, and associated government and other agencies relating to support for research, instruction, or other sponsored activity (in any amount, without need for co-signature; monthly notification to the Provost of awards over | Vice President for Research | Senior Officer | | | - |
| | | | | Associate Vice President for Research | Divisional Unit Officer | - |

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap | |
|--|---|---------------------------------|--------------------------------|--|----------------------------|--------------------|---|
| Sponsored Projects | Contracts, grants, and other agreements with the United States Government, non-U.S. governments, U.S. state/local governments, and associated government and other agencies relating to support for research, instruction, or other sponsored activity, including subcontracts and subawards with entities with the aforementioned contracts, grants, and other agreements (in any amount, without need for co-signature; monthly notification to the Provost of awards over \$1 million) | | | Director, Office of Sponsored Projects | Operational Unit Officer | - | |
| | | | | Associate Director(s), Office of Sponsored Projects | Operational Unit Officer | - | |
| | | | | Assistant Director(s), Office of Sponsored Projects | Operational Unit Officer | - | |
| | | | | Director, BioMed Research Administration (as jointly agreed with the Office of Sponsored Projects or Office of Vice President for Research) | | | |
| | | | | Associate Director(s), BioMed Research Administration (as jointly agreed with the Office of Sponsored Projects or Office of Vice President for Research) | | | |
| | | | | Senior Grant and Contract Administrators, Office of Sponsored Projects | | < \$500,000 | |
| | Contracts, grants, and other agreements with the United States Government, non-U.S. governments, U.S. state/local governments, and associated government and other agencies relating to support for research, instruction, or other sponsored activity, including subcontracts and subawards with entities with the aforementioned contracts, grants, and other agreements (in any amount, without need for co-signature; monthly notification to the Provost of awards over \$1 million) | Vice President for Research | Senior Officer | | | | - |
| | | | | Associate Vice President for Research | Divisional Unit Officer | - | |
| | | | | Director, Office of Sponsored Projects | Operational Unit Officer | - | |
| | | | | Associate Director(s), Office of Sponsored Projects | Operational Unit Officer | - | |
| Assistant Director(s), Office of Sponsored Projects | | | | Operational Unit Officer | - | | |
| Senior Grant and Contract Administrators, Office of Sponsored Projects | | | | | | | |
| Research, Industry Engagement | | | | | | | |
| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated | Sub-Delegated Officer Title | Sub-Delegated Officer | Contract Value | |
| | Non-Disclosure Agreements*, Confidentiality Disclosure Agreements*, and other similar agreements regarding confidential, proprietary, or sensitive information; Material Transfer Agreements* | Vice President for Research | Senior Officer | | | - | |
| | | | | Associate Vice President for Research | Divisional Unit Officer | - | |
| | | | | Executive Director, Brown Technology Innovations | Divisional Unit Officer | - | |
| | | | | Director, Office of Research Integrity | Operational Unit Officer | - | |
| | | | | Director, Office of Sponsored Projects | Operational Unit Officer | - | |
| | | | | Associate Director(s), Office of Sponsored Projects | Operational Unit Officer | - | |
| | | | | Assistant Director(s), Office of Sponsored Projects | Operational Unit Officer | - | |
| | | | | Directors, Brown Technology Innovations | Operational Unit Officer | - | |
| | | | | Senior Grant and Contract Administrators, Office of Sponsored Projects | | < \$500,000 | |

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
|---|--|---------------------------------------|---|--|----------------------------|--------------------|
| Research, Industry Engagement | Industry-sponsored programs and projects (in any amount, without need for co-signature; monthly notification to the Provost of awards over \$1 million) | Vice President for Research | Senior Officer | | | - |
| | | | | Associate Vice President for Research | Divisional Unit Officer | - |
| | | | | Executive Director, Brown Technology Innovations | Divisional Unit Officer | - |
| | | | | Director of Research Agreements and Contracting | Divisional Unit Officer | - |
| | Collaboration, research, or other similar agreements with industry for which funding is not provided | Vice President for Research | Senior Officer | | | - |
| | | | | Associate Vice President for Research | Divisional Unit Officer | - |
| | | | | Executive Director, Brown Technology Innovations | Divisional Unit Officer | - |
| | | | | Director, Office of Sponsored Projects | Operational Unit Officer | - |
| | | | | Associate Director(s), Office of Sponsored Projects | Operational Unit Officer | - |
| | | | | Assistant Director(s), Office of Sponsored Projects | Operational Unit Officer | - |
| | | | | Senior Grant and Contract Administrators, Office of Sponsored Projects | | - |
| | Operation of the University's technology transfer program, other than non-disclosure, confidentiality disclosure, and material transfer agreements (e.g., licenses, options, agreements regarding intellectual property, etc.) | Vice President for Research | Senior Officer | | | \$1,000,000 |
| | | | | Associate Vice President for Research | Divisional Unit Officer | \$100,000 |
| | | | | Executive Director, Brown Technology Innovations | Divisional Unit Officer | \$100,000 |
| | Data use agreements and other similar data sharing agreements, except for those with the School of Public Health for the benefit of the Centers for Medicare and Medicaid Services | Vice President for Research | Senior Officer | | | \$1,000,000 |
| | | | | Associate Vice President for Research | Divisional Unit Officer | \$100,000 |
| | | | | Director, Office of Sponsored Projects | Operational Unit Officer | \$50,000 |
| | | | | Research Agreement Manager, Office of Research Integrity | Operational Unit Officer | \$50,000 |
| | Data use agreements and other similar data sharing agreements with the School of Public Health for the benefit of the Centers for Medicare and Medicaid Services | Dean, School of Public Health | Senior Officer | | | \$1,000,000 |
| | | | | Associate Dean of Public Health for Administration and Finance | Divisional Unit Officer | \$100,000 |
| Facilities use agreements for and other agreements regarding the use of equipment, materials, laboratories, and research-related spaces under the control of academic departments other than the Division of Biology and Medicine | Divisional Unit Officers | Divisional Unit Officer | | | \$100,000 | |
| | Operational Unit Officers | Operational Unit Officer | | | \$50,000 | |
| Contracts and agreements related to research, including, but not limited to, multi-project agreements, master research and collaboration agreements, multi-party agreements, external facilities use agreements, external equipment use agreements, and research relationships with government and non-government entities | Vice President for Research | Senior Officer | | | - | |
| | Associate Vice President for Research | Divisional Unit Officer | | | - | |
| | | | | | - | |
| Data Use Agreements, Material Transfer Agreements, agreements related to the performance of specific research projects that do not involve the transfer of money and that are not otherwise managed by the Office of Sponsored Projects, and any agreements related to the performance of specific research projects that the Vice President for Research determines are not routinely handled by the Office of Sponsored Projects or Brown Technology Innovations. | Director of Research Agreements and Contracting | Operational Unit Officer [?] | | | | |
| Export control-related agreements, including export control license requests and associated documents; export control end user agreements and statements; technology control plans, export control monitoring plans, and other export control compliance related attestation documents; and electronic export information filings. These agreements are not financial in nature, and no dollar limitation is applicable. | Vice President for Research | Senior Officer | | | | |
| | Associate Vice President for Research | Divisional Unit Officer | | | | |
| | Export Control Officer | Divisional Unit Officer | | | | |
| | | | Senior Director of the Office of Research Integrity | Operational Unit Officer | | |
| | | Assistant Director of Export Controls | Operational Unit Officer | | | |

| Contract Type | Contract Description | Primary Delegated Officer Title | Primary Delegated Officer Type | Sub-Delegated Officer Title | Sub-Delegated Officer Type | Contract Value Cap |
|---------------|----------------------|---------------------------------|--------------------------------|-----------------------------|----------------------------|--------------------|
| - | | | | | | |